

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
Astengo 9212

In Re Application Of:

Paolo Astengo

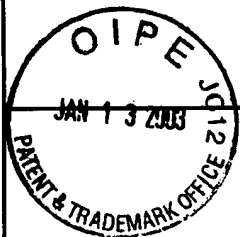
Serial No.  
09/816,267

Filing Date  
03/23/2001

Examiner  
Thanh Lam

Group Art Unit  
2834

Title: A Drive for a roller shutter winding tube



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

~~AND~~ AND

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_  
(Date)

*Signature*

*Typed or Printed Name of Person Signing Certificate*

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

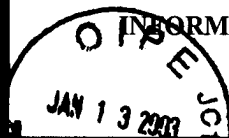
*Typed or Printed Name of Person Mailing Correspondence*

\*This certificate may only be used if paying by deposit account.

Dated:

*Signature*

CC:

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| <div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b><br/>(Use several sheets if necessary)</p> </div> | Docket Number (Optional)<br><b>Astengo-9212</b> | Application Number<br><b>09/816,267</b> |
|   | Applicant(s)<br><b>Paolo Astengo</b>            |   |
|   | Filing Date<br><b>03/23/2001</b>                | Group Art Unit<br><b>2834</b>           |

| U.S. PATENT DOCUMENTS |                 |          |                  |       |          |                               |   |
|-----------------------|-----------------|----------|------------------|-------|----------|-------------------------------|---|
| *EXAM<br>INITIAL      | DOCUMENT NUMBER | DATE     | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |   |
|                       | 3,257,572       | 6/21/66  | L Ludemann et al |       |          | 3/14/60                       | ★ |
|                       | 4,194,266       | 3/25/80  | Natzel           | 16    | 99       | 6/29/78                       |   |
|                       | 5,847,525       | 12/08/98 | Cheron et al.    | 318   | 468      | 9/5/97                        |   |
|                       |                 |          |                  |       |          |                               |   |
|                       |                 |          |                  |       |          |                               |   |
|                       |                 |          |                  |       |          |                               |   |
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|                       |                 |          |                  |       |          |                               |   |

| FOREIGN PATENT DOCUMENTS |                       |            |              |       |          |             |    |   |
|--------------------------|-----------------------|------------|--------------|-------|----------|-------------|----|---|
| REF                      | DOCUMENT NUMBER       | DATE       | COUNTRY      | CLASS | SUBCLASS | Translation |    |   |
|                          |                       |            |              |       |          | YES         | NO |   |
|                          | EP 0784 146 A (SOMFY) | July 16,97 | France ★     | E06B  | 9/82     |             |    | ✓ |
|                          | EP 0521709 A          | Jan7,93    | DE, FR, GB ★ | HO2P  | 6/02     | ✓           |    |   |
|                          | 2,775,729             | March 4,99 | France       | E06B  | 9/17     |             |    | ✓ |
|                          | DE 3222770A1          | June 18,82 | Germany      | E06B  | 9/204    |             |    | ✓ |
|                          | DE 3636855A1          | Oct 29,88  | Germany      | E06B  | 9/204    |             |    | ✓ |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |   |
|--|--|---|
|  |  | <div style="display: flex; align-items: center;">          From European Search Report       </div> |
|  |  |   |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

